

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: S. SAEKI, et al.  
Application No.: Rule 1.53(b) Continuation of U.S. Patent Application  
Serial No. 10/338,018, filed January 8, 2003  
Filed: On Even Date Herewith  
For: NONVOLATILE SEMICONDUCTOR MEMORY DEVICE  
Art Group of Parent: 2818  
Examiner of Parent: T. NGUYEN

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR §1.97 & 1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

February 26, 2004

Sir:

In the matter of the above-identified application, this Information Disclosure Statement is being submitted with the following citation as specified in 37 CFR §1.97(d).

"A copy of any patent, publication or other information listed in an Information Disclosure Statement is not required to be provided if it was previously cited by or submitted to the Office in a prior application, provided that the prior application is properly identified in the statement and relied upon for an earlier filing date under 35 U.S.C. §120."

Applicants are submitting herewith a copy of Form PTO/SB/08A which list documents cited in parent applications Serial No. 10/338,018, filed January 8, 2003 which is a divisional of application Serial No. 10/173,305, filed June 18, 2002 (now U.S. Patent No. 6,525,968), which is a continuation of application Serial No.

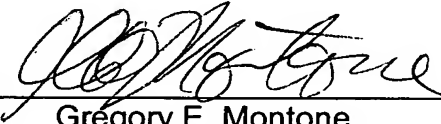
09/769,358, filed January 26, 2001 (now U.S. Patent No. 6,414,877), the entire disclosures of which are hereby incorporated by reference.

It is respectfully requested that this information disclosure statement be considered by the Examiner.

To the extent necessary, the applicants petition for an extension of time under 37 CFR 1.136. Please charge any shortage in the fees due in connection with the filing of this paper, including extension of time fees, to the deposit account of Antonelli, Terry, Stout & Kraus, Deposit Account No. 01-2135 (520.39550CV3).

Respectfully submitted,

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Substitute for form 1449A/PTO		<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		<b>Application Number</b>	Not assigned yet
		<b>Filing Date</b>	On even date herewith
		<b>First Named Inventor</b>	S. SAEKI, et al.
		<b>Art Unit</b>	Not assigned yet
		<b>Examiner Name</b>	Not assigned yet
<b>Sheet</b>	1	of	1
		<b>Attorney Docket Number</b>	520.39550CV3

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)				
		5,321,655		06/1994	Iwahashi	
		5,699,296		12/1997	Sono	
		6,282,121		08/2001	Cho et al.	
		6,285,592		09/2001	Kubota	
		6,009,015		12/1999	Sugiyama	
		6,414,877		07/2002	Saeki et al.	
		6,525,968		02/2003	Saeki et al.	

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)					
		EP 0543703A1		05/1993			

OTHER PRIOR ART—NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>

<b>Examiner Signature</b>		<b>Date Considered</b>	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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